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<p>(21) International Application Number: PCT/US95/09595 (22) International Filing Date: 31 July 1995 (31.07.95) (30) Priority Data: 08/283,604 1 August 1994 (01.08.94) US 08/477,559 7 June 1995 (07.06.95) US (71) Applicants: DELTA AND PINE LAND COMPANY [US/US]; P.O. Box 157, One Cotton Row, Scott, MS 38772 (US). UNITED STATES OF AMERICA, represented by THE SECRETARY OF AGRICULTURE [US/US]; Washington, DC 20250-1400 (US). (72) Inventors: OLIVER, Melvin; John; 5728 72nd Street, Lubbock, TX 79424 (US). QUISENBERRY, Jerry, Edwin; Route 2, Box 178, Idalou, TX 79329 (US). TROLINDER, Norma, Lee, Glover; Route 1, Box 44-5, Quanah, TX 79252 (US). KEIM, Don, Lee; 202 Deer Creek Drive, Leland, MS 38756 (US). (74) Agents: FIGG, E., Anthony et al.; Rothwell, Figg, Ernst &amp; Kurz, 555 13th Street, N.W. # 701 East, Washington, DC 20004 (US).</p>		<p>(81) Designated States: AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TI, TT, UA, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ, UG).  Published Without international search report and to be republished upon receipt of that report.</p>
<p>(54) Title: CONTROL OF PLANT GENE EXPRESSION (57) Abstract A method for making a genetically modified plant comprising regenerating a whole plant from a plant cell that has been transfected with DNA sequences comprising a first gene whose expression results in an altered plant phenotype linked to a transiently active promoter, the gene and promoter being separated by a blocking sequence flanked by specific excision sequences, a second gene that encodes a recombinase specific for the specific excision sequences linked to a repressible promoter, and a third gene that encodes the repressor specific for the repressible promoter. Also a method for making a genetically modified hybrid plant by hybridizing a first plant regenerated from a plant cell that has been transfected with DNA sequences comprising a first gene whose expression results in an altered plant phenotype linked to a transiently active promoter, the gene and promoter being separated by a blocking sequence flanked by specific excision sequences to a second plant regenerated from a second plant cell that has been transfected with DNA sequences comprising a second gene that encodes a recombinase specific for the specific excision sequences linked to a promoter that is active during seed germination, and growing a hybrid plant from the hybrid seed. Plant cells, plant tissues, plant seed and whole plants containing the above DNA sequences are also claimed.</p>		

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## CONTROL OF PLANT GENE EXPRESSION BACKGROUND OF THE INVENTION

This invention relates to certain transgenic plants and involves a method of creating transgenic plants with controllable genes. More particularly, the invention relates to transgenic plants that have been modified such that expression of a desired introduced gene can be limited to a particular stage of plant development, a particular plant tissue, particular environmental conditions, or a particular time or location, or a combination of these situations.

Various gene expression control elements that are operable in one or more species of organisms are known. For example, PCT Application WO 90/08826 (Bridges, et al.) discloses an inducible gene promoter that is responsive to an exogenous chemical inducer, called a "gene switch." This promoter can be linked to a gene and introduced into a plant. The gene can be selectively expressed by application of the chemical inducer to activate the promoter directly.

PCT application WO 94/03619 (Bright, et al.) discloses a gene cascade consisting of a gene switch linked to a repressor gene and a repressible operator linked to a disrupter protein capable of disrupting plant development. Growth of the plant can be controlled by the application or withholding of a chemical inducer. While the inducer is present, the

repressor is expressed, the promoter attached to the disrupter gene is repressed, the disrupter protein is not expressed, thereby allowing the plant to grow normally. If the chemical inducer is withheld, the gene switch is turned off, the repressible promoter is not repressed, so the disrupter protein is expressed and plant development is disrupted. This system is said to be useful for controlling the escape of plants into the wild by making their continued growth and development dependent on the continued application of a chemical inducer, and to mitigate the problem of preharvest sprouting of grains by withholding the chemical inducer at the last stages of seed development.

Gatz and Quail (1988) and Gatz, *et al.* (1992), (Hoppe-Seyler), 372:659-660 (1991), disclose a plant-active repressor-operator system that is controlled by the application of tetracycline. The system consists of the Tn10 tet repressor gene, and a cauliflower mosaic virus (CaMV) 35S promoter, modified to contain two tet operons and linked to the chloramphenicol acetyltransferase (*cat*) gene (Gatz and Quail, 1988), or modified to contain three tet operons and linked to the beta-glucuronidase (*gus*) gene (Gatz, *et al.*, 1992). So long as the Tn10 tet repressor gene is active, the modified promoter is repressed by the interaction of the repressor with the tet operons, and the *cat* or *gus* gene is not expressed. The presence of tetracycline inhibits repressor binding, enabling expression of the *cat* or *gus* gene.

#### SUMMARY OF THE INVENTION

The present invention involves, in one embodiment, the creation of a transgenic plant that contains a gene whose expression can be controlled by application of an external stimulus. This system achieves a positive

control of gene expression by an external stimulus, without the need for continued application of the external stimulus to maintain gene expression. The present invention also involves, in a second  
5 embodiment, the creation of transgenic parental plants that are hybridized to produce a progeny plant expressing a gene not expressed in either parent. By controlling the expression of genes that affect the plant phenotype, it is possible to grow plants under  
10 one set of conditions or in one environment where one phenotype is advantageous, then either move the plant or plant its seed under another set of conditions or in another environment where a different phenotype is advantageous. This technique has particular utility in  
15 agricultural and horticultural applications.

In accordance with one embodiment of the invention, a series of sequences is introduced into a plant that includes a transiently-active promoter linked to a structural gene, the promoter and  
20 structural gene being separated by a blocking sequence that is in turn bounded on either side by specific excision sequences, a repressible promoter operably linked to a gene encoding a site-specific recombinase capable of recognizing the specific excision sequences,  
25 and a gene encoding a repressor specific for the repressible promoter whose function is sensitive to an external stimulus. Without application of the external stimulus, the structural gene is not expressed. Upon application of the stimulus, repressor function is  
30 inhibited, the recombinase is expressed and effects the removal of the blocking sequence at the specific excision sequences, thereby directly linking the structural gene and the transiently-active promoter.

In a modification of this embodiment, the sequences encoding the recombinase can be introduced separately into the plant via a viral vector.

5 In an alternative embodiment, no repressor gene or repressible promotor is used. Instead, the recombinase gene is linked to a germination-specific promotor and introduced into a separate plant from the other sequences. The plant containing the transiently-active promotor, blocking sequence, and structural gene is  
10 then hybridized with the plant containing the recombinase gene, producing progeny that contain all of the sequences. When the second transiently-active promotor becomes active, the recombinase removes the blocking sequence in the progeny, allowing expression  
15 of the structural gene in the progeny, whereas it was not expressed in either parent.

In still another embodiment, the recombinase gene is simply linked to an inducible promoter. Exposure of  
20 the plant to the induce specific for the inducible promoter leads to the expression of the recombinase gene and the excision of the blocking sequence.

In all of these embodiments, the structural gene is expressed when the transiently-active promoter becomes active in the normal course of growth and  
25 development, and will continue to be expressed so long as the transiently-active promoter is active, without the necessity of continuous external stimulation. This system is particularly useful for developing seed, where a particular trait is only desired during the  
30 first generation of plants grown from that seed, or a trait is desired only in subsequent generations.

## DETAILED DESCRIPTION OF THE INVENTION

This invention relates to a method of creating transgenic plants wherein the expression of certain plant traits is ultimately under external control. In one embodiment the control is achieved through application of an external stimulus; in another embodiment it is achieved through hybridization, in still another embodiment it is achieved by direct introduction of a recombinase or recombinase gene into a plant. The transgenic plants of the present invention are prepared by introducing into their genome a series of functionally interrelated DNA sequences, containing the following basic elements: a plant-active promoter that is active at a particular stage in plant development or under particular environmental conditions ("transiently-active promoter"), a gene whose expression results in an altered plant phenotype which is linked to the transiently-active promoter through a blocking sequence separating the transiently-active promoter and the gene, unique specific excision sequences flanking the blocking sequence, wherein the specific excision sequences are recognizable by a site-specific recombinase, a gene encoding the site-specific recombinase, an alternative repressible promoter linked to the recombinase gene, and an alternative gene that encodes the repressor specific for the repressible promoter, the action of the repressor being responsive to an applied or exogenous stimulus. While these elements may be arranged in any order that achieves the interactions described below, in one embodiment they are advantageously arranged as follows: a first DNA sequence contains the transiently-active promoter, a first specific excision sequence, the blocking

sequence, a second specific excision sequence, and the gene whose expression results in an altered plant phenotype; a second DNA sequence contains the repressible promoter operably linked to the recombinase gene, and optionally an enhancer; and a third DNA sequence containing the gene encoding the repressor specific for the repressible promoter, itself linked to a promoter functional and constitutive in plants. The third DNA sequence can conveniently act as the blocking sequence located in the first DNA sequence, but can also occur separately without altering the function of the system. This embodiment can be modified such that the recombinase sequence is introduced separately via a viral vector. In an alternative embodiment, an advantageous arrangement is as follows: a first plant containing a DNA sequence comprising the transiently-active promotor, a first specific excision signal sequence, the blocking sequence, a second specific excision signal sequence, and the gene whose expression results in an altered plant phenotype; a second plant containing a DNA sequence comprising a constitutive plant-active promotor operably linked to the recombinase gene (the two plants being hybridized to produce progeny that contain all of the above sequences).

When a plant contains the basic elements of either embodiment, the gene whose expression results in an altered plant phenotype is not active, as it is separated from its promoter by the blocking sequence. In the first embodiment, absent the external stimulus, the repressor is active and represses the promoter that controls expression of the recombinase; in the alternative embodiment the recombinase is not present



in the same plant as the first DNA sequence. Such a plant will not display the altered phenotype, and will produce seed that would give rise to plants that also do not display the altered phenotype. When the

5 stimulus to which the repressor is sensitive is applied to this seed or this plant, the repressor no longer functions, permitting the expression of the site-specific recombinase, or alternatively, when the recombinase is introduced via hybridization it is

10 expressed during germination of the seed, either of which effects the removal of the blocking sequence between the specific excision signal sequences. Upon removal of the blocking sequence, the transiently-active promoter becomes directly linked to the gene

15 whose expression results in an altered plant phenotype.

A plant grown from either treated or hybrid seed, or a treated plant, will still not exhibit the altered phenotype, until the transiently-active promoter becomes active during the plant's development, after

20 which the gene to which it is linked is expressed, and the plant will exhibit an altered phenotype.

As used in this specification, a transiently-active promoter is any promoter that is active either during a particular phase of plant development or under

25 particular environmental conditions, and is essentially inactive at other times.

A plant active promoter is any promoter that is active in cells of a plant of interest. Plant-active promoters can be of viral, bacterial, fungal, animal or

30 plant origin.

A gene that results in an altered plant phenotype is any gene whose expression leads to the plant exhibiting a trait or traits that would distinguish it from a plant of the same species not expressing the gene. Examples of such altered phenotypes include a different growth habit, altered flower or fruit color or quality, premature or late flowering, increased or decreased yield, sterility, mortality, disease susceptibility, altered production of secondary metabolites, or an altered crop quality such as taste or appearance.

A gene and a promoter are to be considered to be operably linked if they are on the same strand of DNA, in the same orientation, and are located relative to one another such that the promoter directs transcription of the gene (*i.e.* in *cis*). The presence of intervening DNA sequences between the promoter and the gene does not preclude an operable relationship.

A blocking sequence is a DNA sequence of any length that blocks a promoter from effecting expression of a targeted gene.

A specific excision sequence is a DNA sequence that is recognized by a site-specific recombinase.

A recombinase is an enzyme that recognizes a specific excision sequence or set of specific excision sequences and effects the removal of, or otherwise alters, DNA between specific excision sequences.

A repressor element is a gene product that acts to prevent expression of an otherwise expressible gene. A repressor element can comprise protein, RNA or DNA.

5 A repressible promoter is a promoter that is affected by a repressor element, such that transcription of the gene linked to the repressible promoter is prevented.

10 In a preferred embodiment, the present invention involves a transgenic plant or seed which, upon treatment with an external stimulus produces plants that produce seed that cannot germinate (but that is unaltered in other respects). If the transiently-active promoter is one that is active only in late embryogenesis, the gene to which it is linked will be expressed only in the last stages of seed development or maturation. If the gene linked to this promoter is a lethal gene, it will render the seed produced by the plants incapable of germination. In the initially-transformed plant cells, this lethal gene is not  
15 expressed, not only because the promoter is intrinsically inactive, but because there is a blocking sequence separating the lethal gene from its promoter. Also within the genome of these cells are the genes for the recombinase, linked to a repressible promoter, and the gene coding for the repressor. The repressor is  
20 expressed constitutively and represses the expression of the recombinase. These plant cells can be regenerated into a whole plant and allowed to produce seed. The mature seed is exposed to a stimulus, such as a chemical agent, that inhibits the function of the  
25 repressor. Upon inhibition of the repressor, the promoter driving the recombinase gene is depressed and

the recombinase gene is expressed. The resulting recombinase recognizes the specific excision sequences flanking the blocking sequence, and effects the removal of the blocking sequence. The late embryogenesis promoter and the lethal gene are then directly linked. 5 The lethal gene is not expressed, however, because the promoter is not active at this time in the plant's life cycle. This seed can be planted, and grown to produce a desired crop of plants. As the crop matures and produces a second generation of seed, the late 10 embryogenesis promoter becomes active, the lethal gene is expressed in the maturing second generation seed, which is rendered incapable of germination. In this way, accidental reseeding, escape of the crop plant to areas outside the area of cultivation, or germination 15 of stored seed can be avoided.

In an alternative preferred embodiment, the present invention involves a pair of transgenic plants that are hybridized to produce progeny that display a 20 phenotype not seen in either parent. In this alternative embodiment a transiently-active promoter that is active only in late embryogenesis can be linked to a lethal gene, with an intervening blocking sequence bounded by the specific excision sequences. These 25 genetic sequences can be introduced into plant cells to produce one transgenic parent plant. The recombinase gene is linked to a germination-specific promoter and introduced into separate plant cells to produce a second transgenic parent plant. Both of these plants 30 can produce viable seed if pollinated. If the first and second transgenic parent plants are hybridized, the progeny will contain both the blocked lethal gene and the recombinase gene. The recombinase is expressed

upon germination of the seed and effects the removal of the blocking sequence, as in the first embodiment, thereby directly linking the lethal gene and the transiently-active promotor. As in the first  
5 embodiment, this promotor becomes active during maturation of the second generation seed, resulting in seed that is incapable of germination. Ideally, the first parent employs a male-sterility gene as the blocking sequence, and includes an herbicide resistance  
10 gene. In this way, self-pollination of the first transgenic parent plant is avoided, and self-pollinated second transgenic parent plants can be eliminated by application of the herbicide. In the hybrid progeny, the male-sterility gene is removed by the recombinase,  
15 resulting in hybrid progeny capable of self-pollination.

In another embodiment, the recombinase gene is linked to an inducible promoter. Examples of such  
20 promoters include the copper, controllable gene expression system (Mett et al., 1993) and the steroid-inducible gene system (Skena et al., 1991). Exposure of the transgenic plant to the inducer specific for the inducible promoter leads to expression of the  
25 recombinase gene and the excision of the blocking sequence. The gene that results in an altered plant phenotype is then expressed when the transiently active promoter becomes active.

Any appropriate transiently-active promoter can be used, and selection of an appropriate promoter will be  
30 governed by such considerations as plant type and the phenotypic trait over which control is sought. The transiently-active promoter is preferably not a "leaky"

promoter, meaning that it is active substantially only during a well-defined phase of plant growth or under particular environmental conditions, and substantially inactive at all other times. This property prevents the premature "triggering" of the system. There are numerous published examples of transiently-active promoters, which can be applied in the present system. The principle consideration for selecting an appropriate promoter is the stage in the plant's life at which it is desired to have the altered phenotype expressed. If it is desired to have the phenotype expressed after the first generation, a promoter that is active during seed production is preferred, as it will not be active during the vegetative phase of first generation plant growth. If it is desired to have the altered phenotype expressed at some time during the first generation itself, a promoter that is active at an earlier stage would be appropriate. It will be readily apparent to workers conversant in the art that the timing of the application of the external stimulus to the plant to trigger the system, in those embodiments employing the repressible promotor system, should occur prior to the stage at which the selected transiently-active promotor is active for the generation of plant which is desired to display the altered phenotype. A promoter active in late embryogenesis, such as the LEA promoter, Hughes and Galau, 1989 and 1991, Galau, et al., 1991, 1992 and 1993, is ideal when it is desired to have the altered phenotype appear after the first generation, because it is active only during the formation of the embryo within the seed, after the first generation plant has completed a season of vegetative growth (embryogenesis

is virtually the last stage in seed formation, after most other fruit and seed structures are formed).

The gene or genes linked to the plant development promoter can be any gene or genes whose expression results in a desired detectable phenotype. This phenotype could be any trait that would be desired in a plant in one situation, but not desired in another, such as male sterility, drought resistance, insect resistance, early or late seed germination, or early or late flowering, to give a few examples. Often a plant can possess traits that are advantageous in some ways or under some conditions, but at a certain cost to the plant. For instance, a trait for insect resistance might involve the production of secondary plant metabolites or structures, at a certain metabolic expense to the plant. This is advantageous in an environment where pests are present, but essentially an unnecessary burden where they are not. Another example is the production of seeds in an annual fruit crop, such as watermelon. Obviously, it is necessary for at least one generation of plants to produce seeds, so that a seed company can produce seed for sale to growers, but a seedless fruit crop grown from that seed is commercially desirable. Still another example is a trait that allows ready and rapid seed germination in a cereal crop. This is advantageous for getting a crop established as rapidly as possible and with a minimum of effort, but very undesirable if it leads to germination of the harvested grain in the grain bin. Still another example would be where the plant is desirable in one location or season (as a winter forage crop, for instance), but considered a weed in another. If the second generation seed were incapable of

germination, it would prevent post-harvest germination, the "escape" of a plant through natural seed dispersal into a location where it is not desired, or accidental reseeding. These last two examples could

5 advantageously employ a lethal gene (meaning a gene whose expression somehow interferes in plant growth or development), so that the second generation seed simply will not germinate, or the last example could

10 alternatively employ any gene that introduces a trait that decreases the plant's vigor, such as disease susceptibility, early flowering, low seed production, or seedless. A ribosomal inhibitor protein ("RIP") gene is a preferred lethal gene, the saponin 6 RIP, (GenBank ID SOSAPG, Accession No. X15655), being

15 particularly preferred. RIP directly interferes in the expression of all protein in a plant cell, without being toxic to other organisms. Expression of RIP in the cells of the embryo would entirely prevent germination of the seed.

20 The blocking sequence can be any sequence that prevents expression of the gene linked to the transiently-active promotor, such as a termination signal, but in those embodiments employing a

25 repressible promoter is advantageously the sequence that codes for the repressor. In this way, when the blocking sequence is excised, the repressor gene is eliminated, thus further minimizing the chance of later inhibition of the system. In the hybrid embodiment,

30 the blocking sequence is advantageously a gene that produces male sterility (such as a lethal gene linked to an anther-specific promotor). In this way, hybridization is facilitated, but hybrid progeny will



be capable of self-pollination when the blocking sequence is removed by the recombinase.

In those embodiments employing a repressible promoter system, the gene encoding the repressor is responsive to an outside stimulus, or encodes a repressor element that is itself responsive to an outside stimulus, so that repressor function can be controlled by the outside stimulus. The stimulus is preferably one to which the plant is not normally exposed, such as a particular chemical, temperature shock, or osmotic shock. In this way, the simple application of the stimulus will block the repression of the recombinase, yet there will be a low probability of the repressor being accidentally or incidentally blocked. If the repressor is sensitive to a chemical stimulus, the chemical is preferably non-toxic to the crop and to non-pest animals. A preferred system is the Tn10 tet repressor system, which is responsive to tetracycline. Gatz and Quail (1988); Gatz, et al. (1992). In this system, a modified Cauliflower Mosaic Virus (CaMV) 35S promoter containing one or more, preferably three, tet operons is used; the Tn10 tet repressor gene produces a repressor protein that binds to the tet operon(s) and prevents the expression of the gene to which the promoter is linked. The presence of tetracycline inhibits binding of the Tn10 tet repressor to the tet operon(s), allowing free expression of the linked gene. This system is preferred because the stimulus, tetracycline, is not one to which the plant would normally be exposed, so its application can be controlled. Also, since tetracycline has no harmful effects on plants or animals, its presence would not otherwise impede the normal development of the plant,

and residual amounts left on the seed or plant after treatment would have no significant environmental impact. Examples of other repressible promoter systems are described by Lanzer and Bujard (1988) and Ptashne, et al.

The recombinase/excision sequence system can be any one that selectively removes DNA in a plant genome. The excision sequences are preferably unique in the plant, so that unintended cleavage of the plant genome does not occur. Several examples of such systems are discussed in Sauer, U.S. Patent 4,959,317 and in Sadowski (1993). A preferred system is the bacteriophage CRE/LOX system, wherein the CRE protein performs site-specific recombination of DNA at LOX sites. Other systems include the resolvases (Hall, 1993), FLP (Pan, et al., 1993), SSV1 encoded integrase (Muskhekishvili, et al., 1993), and the maize Ac/Ds transposon system (Shen and Hohn, 1992).

The methods used for the actual transformation of the target plant are not critical to this invention. The transformation of the plant is preferably permanent, e.g. by integration of introduced sequences into the plant genome, so that the introduced sequences are passed onto successive plant generations. There are many plant transformation techniques well-known to workers in the art, and new techniques are continually becoming known. Any technique that is suitable for the target plant can be employed with this invention. For example, the sequences can be introduced in a variety of forms, such as a strand of DNA, in a plasmid, or in an artificial chromosome, to name a few. The introduction of the sequences into the target plant

cells can be accomplished by a variety of techniques, as well, such as calcium phosphate-DNA co-precipitation, electroporation, microinjection, Agrobacterium infection, liposomes or microprojectile transformation. Those of ordinary skill in the art can refer to the literature for details, and select suitable techniques without undue experimentation.

It is possible to introduce the recombinase gene, in particular, into the transgenic plant in a number of ways. The gene can be introduced along with all of the other basic sequences, as in the first preferred embodiment described above. The repressible promoter/recombinase construct can be also introduced directly via a viral vector into a transgenic plant that contains the other sequence components of the system. Still another method of introducing all the necessary sequences into a single plant is the second preferred embodiment described above, involving a first transgenic plant containing the transiently-active promoter/structural gene sequences and the blocking sequence, and a second transgenic plant containing the recombinase gene linked to a germination-specific plant-active promoter, the two plants being hybridized by conventional to produce hybrid progeny containing all the necessary sequences.

It is also possible to introduce the recombinase itself directly into a transgenic plant as a conjugate with a compound such as biotin, that is transported into the cell. See Horn, et al. (1990).

The methods used to regenerate transformed cells into whole plants are not critical to this invention,

and any method suitable for the target plant can be employed. The literature describes numerous techniques for regenerating specific plant types, (e.g., via somatic embryogenesis, Umbeck, et al., 1987) and more  
5 are continually becoming known. Those of ordinary skill in the art can refer to the literature for details and select suitable techniques without undue experimentation.

The present invention can be used to make a  
10 variety of transgenic plants. The method is particularly suited for use with plants that are planted as a yearly crop from seed. These include, but are not limited to, fiber crops such as cotton and flax; dicotyledonous seed crops such as soybean,  
15 sunflower and peanut; annual ornamental flowers; monocotyledonous grain crops such as maize, wheat and sorghum; leaf crops such as tobacco; vegetable crops such as lettuce, carrot, broccoli, cabbage and cauliflower; and fruit crops such as tomato, zucchini,  
20 watermelon, cantaloupe and pumpkin.

The following examples are meant to illustrate, but in no way to limit, the claimed invention.

#### EXAMPLE 1

##### Selection of Lethal (RIP) coding sequence.

25 There are currently two major biotechnologically relevant gene classes encoding proteins that when expressed in a plant cell result in the death of that cell. These classes are 1: Nucleases; for example Barnase and ribonuclease A and 2: Catalytic lethal  
30 proteins; for example diphtheria toxin and ribosomal

inhibitor proteins (RIP). Proteins from either class (or any cytotoxic protein, or product enzymatically produced by such a protein) can be used successfully for genetic ablation of specific cell types if the genes are under the control of tissue or cell specific transcriptional promoters. To test the efficacy of the varietal protection system in tobacco a coding sequence for any of the known lethal genes would be suitable. In cotton that would be grown commercially only selected lethal genes could be used since these proteins could impact the final quality of seeds, the target tissue for the expression of a lethal gene. A ribosomal inhibitor protein (that should be susceptible to protease inhibition in the gut) and nucleases are likely candidates for expression in cotton seeds. If the seed is not a factor in the commercial value of a crop (e.g., in forage crops, ornamentals or plants grown for the floral industry) any lethal gene should be acceptable. In our example we have chosen the Ribosomal Inhibitor Protein (RIP) saporin and Barnase (from *Bacillus amyloliquefaciens*) are described as cellular lethal proteins for expression late in seed development.

The RIP coding sequence (CDS) contains a transport signal sequence at its 5' end (the N-Terminus of the preRIP protein) which is 75 base pairs long. The coding sequence for the mature RIP was derived from a cDNA clone isolated from a *Saponaria officinalis* seed cDNA library as described by Barthelemy et al, (1993), the signal sequence was derived from the sequence of a complete RIP gene, saporin 6 (GenBank ID SOSAP6, Accession No. X15655). A construct linking the nucleotides coding for the signal sequence to the

mature coding region was cloned as an Eco RI fragment into the general cloning vector pBluescript II KS+ (Stratagene). This construct was termed Del 3. This sequence was modified to construct a second plasmid that contained the mature RIP coding region starting at an ATG codon but minus the nucleotides that code for the signal sequence. This construct was designated as Del 1. Del 3 thus codes for a RIP with a signal sequence, which when expressed results in the protein being excreted from the cell. This does not result in cell death and thus serves as a testable control for the system. The Del 1 codes for a RIP that when expressed is retained in the cytoplasm of the cell and is thus cytotoxic.

To prepare the RIP constructs (Del 1 and Del 3) for use in specific promoter fusion constructs a Nco I site was introduced at the 5' ATG sequence at the five prime end of each of the two RIP sequences. This was achieved using PCR mutagenesis. Primers carrying the mutation to generate the NcoI site within the RIP sequence and spanning part of the multicloning site of the pBluescript II KS+ plasmid, 3'-GAAGTAGTGATCGGTACCA-GTGTAGTT-5' for Del 1 and 3'-GTAGTGATCGGTACCTCTATATAC-AACATCG-5' for Del 3, were used in conjunction with primers to the pBluescript sequences downstream of the RIP sequences. The mutant RIP sequences, Del 1Nco and Del 3Nco, generated by PCR were individually cloned directly into the vector pCRII (InVitrogen) to give source vectors, pDel1Nco and pDel3Nco. From these constructs the RIP sequences can be isolated intact for subcloning into promoter constructs as RIP cassettes.

## EXAMPLE 2

## Isolation and mutagenesis of Lea (Late embryogenesis abundant) promoters.

The Lea promoters used in this example were from  
5 two Lea genes, Lea 4A and Lea 14. These promoters were  
chosen for their expression characteristics, the timing  
of transcript accumulation levels during embryogenesis  
and in mature plant tissues, in the cotton variety  
Coker 201 as reported by Hughes and Galau, 1989 and  
10 1991, Galau et al 1991, 1992, and 1993 and the avail-  
ability of published gene sequences (Genbank). Both  
genes are expressed late in seed development and do not  
appear to be under the control of the plant hormone  
abscisic acid (ABA) in mature tissues. Promoter se-  
15 quences for each gene were generated from Coker 201  
genomic DNA using PCR from nested primer sets that  
spanned the 5' end of the Lea protein coding regions to  
sequences approximately 2000 bp upstream of the trans-  
lational start sites for each promoter. For Lea 4A the  
20 outer primers were at position -2043 (base 1 = A of  
ATG), 5'-CCCCCTCCTATGACCAAGTTACC-3' and +279, 5'-CCCT-  
TCAGTTCCTAGTTGTTGC-3' and the inner primers were at  
position -2018, 5'-GCTCCAAACGAGTTGACTTTGAC-3' and +258,  
5'-ACTTTGTGCCTCCCTTTTCATC-3'. For Lea 14 the outside  
25 primers were at position -2113, 5'-CTAACTCCTCTTCTCAGGC-  
AAATG-3' and +342, 5'-TTGTGTCGCTGGCTTTCAATG-3' and  
the inner primers were at position -1846, 5'-TCAGCTCGTC-  
TGCTTCATACCAAC-3' and +172, 5'-CAAATGGGGATGGAATGGCTGTAG-  
3'. In both cases the primary amplification reactions  
30 were accomplished using the outer primers, and from the  
products of this reaction, final promoter fragments  
were isolated from secondary reactions utilizing the  
inner primer pairs. The final products of the Lea 4A

mutagenesis were cloned into pCRII, and the Lea 14 products into pBluescript II KS+, to generate the Lea promoter source plasmids, pCLea4p and pKSLea14p.

5 For cloning purposes (i.e., to link the promoters to the RIP sequences such that the 5' untranslated leader sequences from the Lea promoter fragments is directly linked to the ATG of the RIP coding sequence) the lea promoter fragments were mutagenized using mutant primer PCR to generate NcoI sites at the end of  
10 the 5' leader sequences. Using the upstream inner primer and new mutant primers, mutant Lea promoter fragments were synthesized by PCR and cloned into pCRII to generate mutant Lea promoter source plasmids, pCmLea4p and pCmLea14p. The mutant primers used in  
15 conjunction with the corresponding upstream inner primers were 5'-CTCTGACGCCATGGTTCTTCTTGC-3' for Lea 4A and 5'-CCAACAAC TGCGCCATGGCGTACAAAGTC-3' for Lea 14.

### EXAMPLE 3

20 Construction of lethal genes using the Lea promoters and RIP.

#### 3.1 Coding regions

Chimeric partial genes composed of each mutant Lea promoter fragments and each of the RIP coding region constructs were assembled and placed in the stock  
25 vector pBluescript prior to cloning into a plant transformation intermediate vector. For Lea4-Del 1 and Del 3 combinations, the Lea 4 promoter fragment was isolated from pCmLea4p as a Sal I / Nco I fragment and ligated into both pDel 1Nco and p Del 3Nco cut with  
30 the same two enzymes. This gave rise to the Lea 4 chimeric gene source plasmids pCmLea4Del1 and



pCmLea4Del3. The Lea4-Del1 and Del 3 cassettes are removable as a Sal I fragment for cloning. The Del 1 and Del 3 rip sequences were isolated from pDel1Nco and pDel3Nco by digestion with Nco I and Xba 1 restriction enzymes and ligated into the mutant Lea 14 pBluescript construct cut with the same two enzymes. This gave rise to the Lea 14 chimeric partial gene source plasmids pKSmLea14Del1 and pKSmLea14Del3.

In order to generate complete LeaRip genes a 3' non-translated region containing a polyadenylation site and a transcriptional termination sequence (3'Terminator) was added to the 3' end of the RIP coding sequences. For all constructs this was accomplished by the assembly of a cassette consisting of the 3'Terminator sequence from gene7 (*Agrobacterium tumefaciens* Octopine T-left region) and a gentamycin resistance gene (to enable the use of a wider range of *Agrobacterium* strains and binary vector systems for plant transformation). The gene7Term/gentamycin cassette was constructed by subcloning an EcoRI-SalI fragment from pAP2034 (Velten and Schell, 1985) containing the gene7 sequence into pBluescript KS+ to give rise to the pg7KS plasmid. A gentamycin resistance cassette from pTC182 (Charles and Nester, 1993) as a PstI fragment was inserted into the PstI site downstream from the gene7 3'-Terminator sequence to give the plasmid pg7KSGm.

3.2 Introduction of chimeric genes into a plant transformation intermediate vector and *A. tumefaciens*.

For the Lea 4 promoter RIP constructs the gene7Term/gentamycin cassette is introduced as a Sal

I:filled Xba I fragment directly downstream of the RIP coding sequence at Sma I - Xba I sites after the Lea 4 promoter/RIP coding region had been cloned into the intermediate vector (binary) pBin 19 as an Eco RI  
5 fragment. This procedure generates the plasmids pBLea4Del1g7Gm and pBLea4Del3g7Gm.

For the Lea 14 promoter RIP constructs the gene7Term/gentamycin cassette is introduced as a Sal I:filled Xba I fragment directly downstream from the  
10 RIP coding sequences in both pKSmLea14Del1 and pKSmLea14Del3 at EcoRV and XbaI sites. From these constructs the complete gene constructs plus the gentamycin resistance marker was removed as a Sal I - Xba I fragment and cloned into the intermediate vector  
15 (binary) pBin 19 at Sal I and Xba I sites in the multicloning site. This procedure generates the plasmids pBLea14Del1g7Gm and pBLea14Del3g7Gm.

These constructs were individually introduced into two strains of *Agrobacterium tumefaciens*, EHA101 (Hood et al., 1986)) and GV3850 (vanHaute et al., 1983) by  
20 direct transformation, as described by WalkerPeach and Velten (1994). The constructs were then introduced, via *Agrobacterium* infection, into the tobacco variety, *Nicotiana benthamiana* via a standard leaf disc  
25 transformation protocol (Horsch et al., 1985) and into cotton via a hypocotyl transformation procedure and regeneration via somatic embryogenesis (Umbeck et al 1987).

Plants that express the Lea RIP gene in the  
30 correct manner are chosen as those that successfully grow to maturity, flower and produce seed. The seeds

that carry the Lea RIP chimeric gene will be incapable of germination, however. Such plants would attest to the efficacy of the RIP and the developmental control of the Lea promoter for use in the full protection system. Should the promoters prove leaky and cause premature death of the plant or plant tissues prior to maturity or early in seed maturation then the promoters can be mutagenized or reduced to a sequence that is strictly expressed at the correct time in seed maturation.

### 3.3 Selection of non-leaky gene constructs.

Non-leaky Lea promoters are obtained by mutagenesis of either the Lea 4 or Lea 14 promoter prior to attachment of the RIP coding regions, i.e., using the plasmids containing only the Lea promoters, pCmLea4p or pCmLea14p. Mutagenesis is achieved in one of several ways; by random means either via PCR using a collection of ambiguous primers (as described by Zhao et al 1993) or by site directed mutagenesis utilizing alpha-thio strand endonuclease resistance in conjunction with mutagenic primers (Olsen et al., 1993). The Lea promoters can also be subcloned into an M13 based vector for the production of single stranded DNA as a substrate for random chemical mutagenesis with sodium bisulfite as described by Botstein et al., 1985.

Following mutagenesis the population of Lea promoters are isolated and sub-cloned into a pBin 19 intermediate transformation vector such that a population of mutant Lea promoter RIP/g7Term chimeric gene constructs are produced. These will be introduced *en masse* into a suitable *Agrobacterium tumefaciens*

strain (EHA 101) by direct transformation as described by Peach and Velten (1994) and the resultant bacterial culture used as an inoculum for transformation of *Nicotiana benthamiana*. The use of the RIP as the screenable genetic marker simplifies the search for a non-leaky *Lea* promoter from the mutant population in that if a transgenic plant is capable of regenerating to maturity and produces seed from self-fertilization 75% of which are non-viable then it must be carrying a non-leaky version of the *Lea* promoter. The mutant promoter can be recovered by PCR (using the *Lea* primers already available) from DNA isolated from leaves taken as samples during the growth of the plant. Such a mutant promoter is then used in the construction of the full system for use in both tobacco and cotton.

#### EXAMPLE 4

Construction of a tet repressor gene driven by a 35S promoter.

The coding sequence of the tetracycline repressor gene from Tn10 was isolated by PCR amplification from total DNA isolated from an *E. coli* cell line containing the episome F', *lacqZΔM15*, *proAB*, Tn10, (*tetr*). A set of nested PCR primers, two outer and two inner, were synthesized to achieve the isolation. The 5' inner primer contains a mutation that results in the creation of a unique restriction site (*Bgl* II) to facilitate the cloning of the repressor fragment into an appropriate vector. The outer primers used were 1) the 5' outer primer 5'-GCAAGCAATACGCCAAAGTG-3' from position -234 to -214 (+1 at the ATG), 2) the 3' outer primer 5'-GTCAACAGCAATGGATCACTGAA-3' from position +859 to +882 (the stop codon is at +621. The inner primers

used were 1) the 5' mutant inner primer (containing a Bgl II site at -2) 5' - CAAAATTAGGAAGATCTGATGTCTAGATTAG- 3' from position -19 to +13 and 2) the 3' inner mutant primer 5' - AGTGAACGCCGTTTCCATTTAGG- 3' from position +731 to +754. The PCR fragment obtained after the second round of application using the inner set of primers was cloned into pCRII to create the tetracycline repressor CDS source plasmid pCtetR.

10 To generate a complete chimeric tetracycline repressor gene driven by the CaMV 35S promoter the tetracycline repressor CDS was removed from pCtetR as a Bgl II (at -2) - Eco RI (at +682) fragment and cloned between Bgl II - EcoRI in the plasmid pGG (Sutton et. al, 1992). This placed the tetracycline repressor CDS  
15 between a short 35S promoter AMV 5' leader fusion and a NOS 3' terminator sequence to create a full chimeric tetracycline repressor gene. The resultant source plasmid was designated pGGtetR1. In order to  
20 reconstruct the full-length 35S promoter an Eco RV to Sal I fragment (containing 116 bp of the 35S promoter, AMV leader, tetracycline repressor and Nos 3' termination signal) was moved to pMM23 (Qin et al., 1994) to create pMM23tetR2.

25 The full 35S - AMV5' -tet R CDS - Nos3'Term gene was removed from pMM23tetR2 as a Bam HI (3' end of Nos3' Term) - Hind III (5' end of 35S promoter) fragment and cloned into the intermediate vector (binary) pBin 19 at Bam HI and Hind III sites in the  
30 multicloning site to generate pBintet1. This construct was introduced into two strains of Agrobacterium tumefaciens, EHA 101 and GV3850 (as described above).

The constructs were then introduced, via *Agrobacterium* infection, into the tobacco variety, *Nicotiana benthamiana* via a standard leaf disc transformation protocol (Horsch et al., 1985) for in planta studies to assess the activity of the tetracycline repressor and the effectiveness of the tetracycline-repressible 35S promoter in our constructs. This is achieved by the following protocol. The tet operator modified 35S promoter is used to replace the wildtype 35S promoter in the plant-functional GUS plasmid, pBI221 (Clontech Laboratories Inc.). The resulting Op35S->GUS->NOS3' gene will be electroporated into transgenic tobacco cell lines as described by Nunberg and Thomas (1993) expressing the tetR gene (internal control is a wildtype 35S->Lux construct). Active tetracycline repression will reduce or eliminate GUS activity (relative to LUX) in electroporated protoplasts.

#### EXAMPLE 5

Construction of a CRE gene under the control of a tetracycline-derepressible 35S promoter.

A 35S promoter containing three tet operator sequences in the same location as that described by Gatz et. al. (1992) was constructed as a double stranded adapter containing an EcoRV site at the 5' end and a 3' overhang complimentary to an Xba I 5' overhang at the 3' end. The adapter was constructed by annealing, in a step-wise fashion, the following two oligonucleotides

(written 5'-3'), 1:ATCTCCACTGACGTAAGGGATGACGCACAATCC-  
CACTCTATCAGTGATAGAGTGTATATAAGACTCTATCAGTGATAGAGTGAATC-  
TATCAGTGATAGAGTTAACGGTACCT and 2:CTAGAGGTACCGTTAACTCTA-  
TCACTGATAGAGTTCACTCTATCACTGATAGAGTCTTATATACACTCTATCACT-  
5 GATAGAGTGGGATTGTGCGTCATCCCTTACGTCAGTGGAGAT

The full 35S promoter from pMM23 was subcloned as  
a Hind III to Xba I fragment into pBluescript KS plas-  
mid cut with Hind III and Xba I to yield pBSK35S. The  
35S promoter/ 3 tet operator adapter was cloned into  
10 this plasmid between the EcoRV and XbaI sites within  
the unmodified 35S promoter to yield pBSK35S30. The  
full 35S promoter containing the three tet operator  
sites was isolated as a Hind III to XbaI fragment from  
this plasmid and subcloned back into pMM23 to yield  
15 pMM23tet30. This plasmid thus contains a CRE coding  
sequence flanked by a 35S tet operator promoter and a  
NOS 3' terminator sequence.

The full tetracycline repressible CRE chimeric  
gene was isolated from pMM23tet30 as a Hind III to Sal  
20 I fragment and cloned into the intermediate vector  
(binary) pBin 19 at Sal I and Hind III sites in the  
multicloning site to generate pBin35S30CRE. This con-  
struct was introduced into two strains of *Agrobacterium*  
*tumefaciens*, EHA 101 and GV3850 (as described above).  
25 The constructs were then introduced, via *Agrobacterium*  
infection, into the tobacco variety, *Nicotiana bentham-*  
*iana* via a standard leaf disc transformation protocol  
(Horsch et al., 1985). Expression of CRE protein from  
this construct is tested in three ways; immunologically  
30 with CRE antibodies to establish levels of CRE proteins  
in transformants; biochemically using an in vitro assay  
for CRE activity as described by Sauer (1993) or third-

ly directly in protoplasts (from any plant where CRE is expressed, i.e., for later constructs) via electroporation (Nunberg and Thomas 1993) with a test plasmid that would express a screenable enzyme activity. As an  
5 example we will introduce a LOX-tetR-LOX cassette into the BamHI site of pBI221 (clontech Laboratories Inc.) between the 35S promoter and the GUS CDS, and screen for the orientation that aligns the NOS 3' of the tetR with the 35S promoter of pBI221. CRE activity will  
10 remove the LOX-tetR-LOX block between P35S and GUS activating GUS transcription and thus generating scorable GUS activity. Those tobacco plants that are expressing active CRE proteins at high levels will be used in a cross to establish the complete excisable -  
15 repressible protection system in tobacco.

This construct was also introduced into cotton via the hypocotyl /Agrobacterium transformation procedure and regeneration via somatic embryogenesis as described by Umbeck et al 1987. This will produce cotton plants  
20 that will serve as CRE donors to establish the complete excisable - repressible protection system in cotton. Again CRE expression will be gauged as described for tobacco.

#### EXAMPLE 6

25 Introduction of a blocked lethal gene into tobacco and cotton.

6.1 Addition of LOXL blocking sequence LOXR to Lea promoters and RIP lethal gene constructs.

30 The LOX sites can only be used in one direction for this type of construct as in one orientation their introduction would introduce two ATG codons into the 5'



non-translated leader sequence in the chimeric gene which would not be excised following exposure to the CRE protein. Such ATG codons in a 5' non-translated leader sequence would inhibit translation of the transcribed mRNA and thus reduce the level of the desired product, in this example the RIP. In addition, because of the desire to place the blocking sequence in the 5' non-translated leader sequence of several different promoter - CDS constructs, it is desirable to clone into the common Nco I site at the start of the CDS in all constructs. This would also introduce another ATG sequence in this region after cloning and excision of the blocking sequence thus the Nco I sequence at the 5' end of an introduced LOX adapter must be such that after excision and relegation the extra ATG is not introduced into the sequence. Thus the following Nco I adaptor was synthesized to create a Lea promoter separated from the RIP coding sequence by a set of asymmetric restriction sites to allow the introduction of LOX sites and blocking sequence in a directed orientation and to eliminate the problem of introduction of an extra ATG sequence. The adaptor sequence was; upper strand 5'-CATGTCCTTCGAATTCGCCAC-3' , lower strand 5'-CATGGTGGCGAATTCGAAGA-3'. This adaptor, after the annealing of the oligonucleotides, has NcoI specific overhangs at both the 5' and 3' ends, an EcoRI site in the center and a BbsI site (offset cutter) that allows the removal of an excess NcoI site that would be generated during CRE directed excision at the introduced LOX sites.

The construction of the full Lea promoter/excisable stuffer (LOX -35S -tet R - g7 - LOX)/RIP - Nos 3' construct is complicated by its size and

the different sites available in the Lea promoters. The following examples describe the construction of Lea4 and Lea14 promoter systems but similar strategies can be used for all possible Lea or other promoters that can be used in the full system.

6.1.1. Assembly of the Lea14-LOXL-Nos3'-tetR-35S-LOXR-RIP-g7 construct.

A starter plasmid pKSmLea14Dellg7 was obtained by the removal of the gentamycin resistance cassette from pKSmLea14Dellg7Gm by PstI digestion and fragment purification and subsequent ligation. This plasmid was then cut with NcoI (which cuts at the single NcoI site previously placed between the Lea14 promoter and the Del 1 CDS) and used as the vector source in a ligation containing an excess of the NcoI adapter described above to generate pKSmLea14NcoIadDellg7. Successful introduction of the NcoI adaptor was tested by EcoRI digestion and confirmed by sequence analysis. This plasmid was digested with BbsI and BamHI to remove the Del 1/g7 region and generate a vector-Lea 14 promoter BbsI/BamHi fragment which was gel purified as a target for a LOXL(5' excision sequence) adaptor.

A LOXL adaptor for fusion to the Lea14 promoter was constructed by the annealing of two synthesized oligonucleotides: upper strand; 5'-CGCCATAACTTCGTATAG-CATACATTATACGAAGTTATG-3', lower strand; 5'-GATCCATAAC-TTCGTTATAATGTATGCTATACGAAGTTAT-3'. This adaptor, once annealed, generates a BbsI specific 5' overhang and a BamHI 3' specific overhang. The LOXL(Lea14) adaptor was ligated to the vector-Lea 14 promoter BbsI/BamHi fragment to yield the source plasmid pKSmLea14LOXL.

The starter plasmid pKSmLea14Del1g7 was digested with NcoI and HindIII to remove the Lea14 promoter and to generate a Del 1/g7 NcoI/HindIII fragment which was gel purified as a target for a LOXR(3' excision sequence) adaptor.

A LOXR adaptor for fusion to the Del 1 CD was constructed by the annealing of two synthesized oligonucleotides: upper strand; 5'-AGCTTATAACTTCGTATAGCATA-CATTATACGAAGTTATCCAC-3', lower strand; 5'-CATGGTGGATA-ACTTCGTATAATGTATGCTATACGAAGTTATA-3'. This adaptor, once annealed, generates a HindIII specific 5' overhang and a NcoI 3' specific overhang. The LOXR adaptor was ligated to the Del 1/g7 NcoI/HindIII fragment to yield the source plasmid pKSLOXRDel1g7.

The final construct, Lea14-LOXL-Nos3'-tetR-35S-LOXR-RIP-g7, was assembled in the Bluescript II KS+ vector by a triple ligation of gel purified fragments derived from the aforementioned source plasmids. The three fragments were as follows; 1. a BamHI/HindIII fragment from pMM23tetR2 which contains the full 35S - AMV5' - tet R CDS - Nos3'Term gene; 2. a HindIII/SacI fragment from pKSLOXRDel1g7 which contains the complete LOXR-Del 1-g7 sequence; and 3. a BamHI/SacI fragment from pKSmLea14LOXL that contains the complete Bluescript II KS+ vector and the Lea14 promoter-LOXL sequence. This ligation generates the source plasmid pKSmLea14LOXLNos3'tetR35SLOXRDel1g7.

The full Lea14LOXLNos3'tetR35SLOXRDel1g7 construct was removed from pKSmLea14LOXLNos3'tetR35SLOXRDel1g7 as a SmaI/SalI fragment and cloned into the intermediate vector (binary) pBin 19 at SmaI and SalI sites in

the multicloning region to generate pBmLea14LOXLNOS3'-  
tetR35SLOXRDel1g7. This construct was introduced into  
the GV3850 strains of *Agrobacterium tumefaciens*. The  
construct was then introduced, via *Agrobacterium* infec-  
tion, into the tobacco variety, *Nicotiana benthamiana*  
via a standard leaf disc transformation protocol (Horsch  
et al., 1985). For cotton transformation the *Agro-*  
*bacterium* strain EHA 101 is preferred. However, the  
strain carries a kanamycin resistance marker which  
complicates selection with kanamycin of transformed  
EHA101, containing pBmLea14LOXLNOS3' tetR35SLOXRDel1g7  
which carries its own kanamycin resistance gene, since  
*Agrobacterium* cells that do not contain the plasmid  
also survive the antibiotic treatment. In order to  
select for only *Agrobacterium* cells containing pBmLea-  
14LOXLNOS3' tetR35SLOXRDel1g7 a gentamycin resistance  
gene (Gm) cassette is introduced into this plasmid,  
conveniently as a PstI fragment. Thus transformed  
*Agrobacterium* cells containing pBmLea14LOXLNOS3' tetR-  
35SLOXRDel1g7Gm are selected for by treatment with  
gentamycin.

6.1.2. Assembly of the Lea4-LOXL-NOS3'-tetR-35S-  
LOXR-RIP-g7 construct.

A starter plasmid pKSmLea4 was obtained by sub-  
cloning the mutant Lea4 promoter as an EcoRI fragment  
from pCmLea4 into pBluescript II KS+. This plasmid was  
then cut with NcoI (which cuts at the single NcoI site  
previously placed between the Lea14 promoter and the  
Del 1 CDS) and used as the vector source in a ligation  
containing an excess of the NcoI adapter described  
above to generate pKSmLea4NcoIad. Successful introduc-  
tion of the NcoI adaptor was tested by EcoRI digestion

and can be confirmed by sequence analysis. From this plasmid the mutant Lea4 promoter/NcoI adapter sequence was removed as an EcoRI fragment and cloned into the EcoRI site of pUC18 (to introduce a BamHI site at the 5' end of the mutant Lea4 promoter) to give the source plasmid pUC18mLea4Ncoad. This plasmid was digested with BbsI and BamHI to generate a vector-Lea4 promoter BbsI/BamHI fragment which was gel purified as a target for a LOXL adaptor.

A LOXL adaptor for fusion to the Lea4 promoter was constructed by the annealing of two synthesized oligonucleotides: upper strand; 5'-AACCATAACTTCGTATAGCATAC-ATTATACGAAGTTATG-3', lower strand; 5'-GATCCATAACTTCGT-TATAATGTATGCTATACGAAGTTAT-3'. This adaptor, once annealed, generates a BbsI specific 5' overhang and a BamHI 3' specific overhang. The LOXL(Lea4) adaptor was ligated to the vector-Lea 4 promoter BbsI/BamHI fragment to yield the source plasmid pUC18mLea4LOXL.

The final construct, Lea4-LOXL-Nos3'-tetR-35S-LOXR-RIP-g7, was assembled in the PUC18 vector by a triple ligation of gel purified fragments derived from the aforementioned source plasmids. The three fragments were as follows; 1. a BamHI/HindIII fragment from pMM23tetR2 which contains the full 35S - AMV5' - tet R CDS - Nos3'Term gene; 2. a HindIII/PstI fragment from pKSLOXRDel1g7 which contains the complete LOXR-Del 1-g7 sequence; and 3. a BamHI/PstI fragment from pUC18mLea4LOXL that contains the complete pUC18 vector and the Lea4 promoter-LOXL sequence. This ligation generates the source plasmid pUC18mLea4LOXLNos3'tetR35SLOXR-Del1g7.

The full *Lea4LOXLN*os3' *tetR35SLOXRDel*lg7 construct is removed from p *PKSmLea4LOXLN*os3' *tetR35SLOXRDel*lg7 as a *Sma*I/*Sal*I fragment and cloned into the intermediate vector (binary) pBin 19 at *Sma*I and *Sal*I sites in the multicloning region to generate pBm*Lea4LOXLN*os3' *tetR35SLOXRDel*lg7. This construct is introduced into the GV3850 strains of *Agrobacterium tumefaciens*. The construct is introduced, via *Agrobacterium* infection, into the tobacco variety, *Nicotiana benthamiana* via a standard leaf disc transformation protocol (Horsch et al., 1985). Again, for cotton transformation, if EHA101 is used, a gentamycin resistance gene cassette can be inserted into pBm*Lea4LOXLN*os3' *tetR35SLOXRDel*lg7 for convenient selection of transformed *Agrobacterium*.

15

## EXAMPLE 7

Production of whole plants with functioning system.

Transgenic tobacco plants that contain the *Lea 4* promoter -*LOX* - 35S *tetR* CDS - *Nos 3'* end - *Nco I* site - *RIP* CDS - *g7* Term - *Gm* construct that are actively expressing the tetracycline repressor and are verified to contain all components of the construct will be crossed with plants that are expressing CRE protein under the control of the 35S promoter containing three *tet* operator sequences. Progeny that contain the complete system, i.e., containing the *Lea 4* promoter -*LOX* - 35S *tetR* CDS - *Nos 3'* end - *Nco I* site - *RIP* CDS - *g7* Term - *Gm* and 35S3*tetO*-CRE, will be grown to maturity. The progeny containing these constructs will be selected by their resistance to kanamycin and gentamicin, expression of the tetracycline repressor, their non-expression of CRE but presence of the 35S3*TetO*-CRE chimeric gene, and the presence of all of the other

30

components of the system by PCR with appropriate primers. Transgenic cotton plants containing the complete system will be generated in the same way as for tobacco or by retransformation of plants containing the Lea 4 promoter -LOX - 35S tetR CDS - Nos 3' end - Nco I site  
5 - RIP CDS - g7 Term - Gm construct with pBin35S30CRE.

#### EXAMPLE 8

Activation of excision by tetracycline to generate an active Lea promoter RIP chimeric gene.

10 Activation of the 35S3tetO-CRE<sup>+</sup>chimeric gene by the release of the tetracycline repressor upon complex formation with tetracycline can be achieved by exogenous treatment with tetracycline in one of several ways. Firstly seeds of the transgenic tobacco or cotton  
15 can be imbibed in an aqueous solution of tetracycline, apical meristems and/or the total shoot can be sprayed with an aqueous solution of tetracycline or tetracycline can be introduced directly through the root system. In all cases the concentration of tetracycline required for activation is low, constant application  
20 of 0.01 to 2 mg/L have been demonstrated as effective in induction of a tetracycline repressor repressed promoter in tobacco (Roder et al., 1994, Gatz et al., 1991, 1992, and Gatz and Quail, 1988). Such  
25 levels of this antibiotic have the added advantage of being of negligible impact on the environment in which such treated plants are grown. In the example discussed above the intention is to induce the system by imbibition of both cotton and tobacco seeds in a solution containing tetracycline. In both cases a concentration of tetracycline will be chosen to maximize  
30 effect but minimize possible environmental accumula-

tions of the antibiotic. It is envisioned that a maximum of 5mg/L will be used (this is a concentration shown to be effective in activating the system in floating tobacco leaf discs). The higher level will be  
5 required as we will only expose the seed to the antibiotic for a short time to complete imbibition after which the surface of the seeds will be cleaned of any remaining tetracycline (further reducing possible contamination of the growth environment upon planting).

10 The target cells for the imbibed tetracycline is the L2 layer of cells of the proliferating apical meristem. Induction of the system by derepression of the 35S3tetO promoter driving CRE expression in these cells would ensure that all future germline cells would contain the expressible Lea-RIP chimeric gene, thus rendering all maturing seeds from the plants derived from  
15 the treated seeds incapable of germination. We have demonstrated in cotton that imbibition of seeds with a vital dye, Tetrazolium Red in a 5% DMSO solution, of similar size and complexity to tetracycline does successfully infiltrate into all cells of the developing  
20 embryos and indeed throughout all the tissue of the seed. We are at present testing seeds of transgenic tobacco plants expressing both the tetracycline repressor protein and containing a bacterial  $\beta$ -glucuronidase CDS (GUS) under the control of a 35S3tetO promoter for the pattern of GUS expression upon imbibition in a  
25 tetracycline solution. This will determine the conditions necessary to induce derepression of the CRE chimeric gene in the L2 layer of the apical meristem of  
30 the germinating embryos.



## EXAMPLE 9

5       Generation of a genetic system by which a developmentally controlled lethal gene is activated by excision of a blocking sequence (stuffer) by a site-specific recombinase introduced via a viral vector system (or other means).

10       The genetic system described in the above specific example does not require the induction of the site-specific recombinase by an exogenous signal but merely its introduction into the target cells of the transgenic plant at the appropriate time. This could be achieved in several ways, by direct<sup>3</sup> introduction of an expressible DNA system as described for cotton seeds by Zhou et al (1983), by attachment of the site-specific  
15       recombinase to biotin molecules as described for soybean cells by Horn et al (1990), or by use of a viral vector system such as described below. The former two examples have not been demonstrated to be reliable means of introduction of proteins or genes on a whole  
20       plant level or for batch treatment of seeds as would be required for the scheme we suggest. The latter example, a viral vector, could be used. The following narrative describes a system for use in plants that can be infected by Tobacco Mosaic Virus (TMV) but does not  
25       preclude the use of other viral vectors that can infect other plant species. The basic elements of the scheme would be the same for any viral vector system for delivery of a site-specific recombinase.

30       The system in this example uses the same gene arrangement as that described for the endogenous inducer system in that the Lea promoter (or any other promoter) is separated from the desired coding sequence, in this case a RIP CDS, by an excisable blocking se-

quence. The blocking sequence in this example is a 35S promoter - TMV coat protein CDS - Nos 3' Term chimeric gene. Thus the full construct would have the following structure; Lea 4 promoter - LOX - 35S Promoter - TMV  
5 Coat Protein CDS - Nos 3' Term - LOX - Nco I site - RIP CDS - g7 Term. This is inserted into the genome via any one of a number of plant transformation technologies to generate transgenic plants that only produce the viral coat protein. This expression of viral coat  
10 proteins should have no visible phenotype and not affect plant productivity.

The CRE protein is delivered to the seed cells (L2 layer of the apical meristem to ensure that all structures of the developing plant can express CRE) as an  
15 expressible gene carried in a recombinant viral genome package in viral coat protein. In this example this can be done in the following manner. The coat protein coding sequence in a cDNA copy of the RNA genome of a mild strain of TMV (i.e., one that does not invoke  
20 symptoms in the target plant) is removed and replaced with the CRE CDS. The coat protein attachment site in the 3' section of the viral genome must remain in position. The engineered cDNA is cloned into pBluescript and a full length mutant TMV RNA is synthesized in  
25 vitro by the use of T7 or T3 polymerases. The full length RNA is then packaged in vitro with coat protein and used to infect a transgenic plant that is expressing the TMV coat protein, i.e., contains an active 35S - coat protein - Nos 3' chimeric gene or equivalent.  
30 Infection with the full-length RNA without in vitro packaging would yield a similar result though perhaps less efficiently. This step is necessary to amplify the mutant TMV (containing the CRE protein CDS) to

sufficient levels for batch inoculation of seeds containing the incorporated Lea 4 promoter -LOX - 35S Promoter - TMV Coat Protein CDS - Nos 3' Term - LOX - Nco I site - RIP CDS - g7 Term construct. Once amplified in the source plant the mutant virus is isolated and used to inoculate the target seeds by vacuum aided imbibition. Once the virus is in the seeds virus on the surface of the seeds can be removed and inactivated. Upon germination the developing seedling will be infected, and because the cells are expressing the TMV coat protein the mutant virus should spread throughout the developing plant. During infection the CRE CDS will be released as a viral mRNA, translated and CRE protein synthesized. The CRE then removes the blocking sequence from the Lea 4 promoter -LOX - 35S Promoter - TMV Coat Protein CDS - Nos 3' Term - LOX - Nco I site - RIP CDS - g7 Term sequence thus bringing the RIP coding sequence under the control of the Lea promoter. RIP will be expressed during the maturation of seeds on this plant rendering it incapable of producing progeny. As long as the L2 layers of the primary meristems are infected and CRE protein produced in them then the rest of the developing plant will have cells in which the Lea - RIP gene is active, in particular all future developing germ cells.

Since, the blocking sequence is the 35S - coat protein - Nos 3' chimeric gene or equivalent these plants will exhibit a dramatic loss in ability to produce coat protein which in turn will inhibit proliferation of the mutant virus. This has the advantage of reducing the capacity of the target plants to act as effective reservoirs of mutant virus and reduces the transfer of energy from photosynthate to virus replica-

tion and proliferation minimizing possible impacts of the system on plant productivity. Another appealing feature of this system is the inability of the mutant virus to replicate and move in plant tissues unless the  
5 TMV coat protein is present in the cells. Thus non-transgenic plants (and transgenic plants that do not contain an expressing TMV coat protein gene) cannot be infected by this virus. Spread of the mutant virus in the environment is therefore negated.

10

## EXAMPLE 10

Evaluation of transformed plants from Example 7 for production of non-viable seed.

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Evaluation of the effectiveness of the transgenic system is made in whole plants that are homozygous or true breeding for both the LEA 4 promoter-LOX-35S tet repressor CDS-Nos 3' end-NcoI site-RIP CDS-g7 Term-Gm and the 35StetoCRE constructs. Two types of evaluations are made. One set of evaluations tests for the normal growth of the transgenic plants and the 'non functionability' of the system in the absence of tetracycline treatment. The second type of evaluations tests the effectiveness of the functioning system when tetracycline is applied to the seed.

25

30

In the first series of replicated tests, untreated seed of transgenic plants is planted alongside seed of the non-transgenic parent plants, in a replicated design, both in greenhouse and field environments. The primary objective is to evaluate the phenotype similarity of the transgenic and non-transgenic plants. A desired transgenic plant (not treated with

tetracycline) has essentially the same phenotype as the non-transgenic parent plant.

5 Traits such as germination ability, vigor, growth habit, maturity, product yield and product quality are evaluated. With any trait measured, a significant  
10 negative deviation of the transgenic plants from the non-transgenic parent plants indicates one or a combination of the following: dysfunction in the expected gene activities or a position effect of the  
15 construct insertion site on the plant chromosome. The latter problem is addressed by making and evaluating plants derived from several insertion events involving both the LEA4 promoter - LOX - 35S tetrepressor CDS - Nos 3' end-NcoI site-RIP CDS - 87 Term - GM and the 35S  
20 3tet0-CRE constructs.

The second type of test involves evaluation of the effectiveness of the desired phenotype upon activation of CRE by way of treatment of transgenic seed with  
25 tetracycline. This series of tests involves transgenic plants after seed imbibition with tetracycline, in comparison with non-treated, non-transgenic parent plants. Expected effects of transgenic plants include normal growth and development, normal maturity and normal product yield and quality. Non-viability of the  
30 seed produced from the plants is also expected.

Evaluations in not only controlled environmental conditions, but also in a wide array of field environments is also expected. Of particular interest  
35 is identification of any specific environmental condition that might cause premature or unexpected activation of the 35S3tet0-CRE gene. Evaluation

involves germination of seed progeny of non-treated transgenic plants that are grown in a number of environmental conditions. Additionally, evaluation is made of the 'leakiness' or activation prior to late embryogenesis of the LEA promoter after excision of the blocking sequence. Any 'leakage' results in the death of plants or plant parts other than the seed embryo produced by the plants.

Once these evaluations have indicated the full functionability of the system, then the transgenic plants are introduced by way of backcrossing into an array of genotypes within a species. The backcrossed genotypes are again evaluated for functionability, as well as economic potential.

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We claim:

1. A method for making a genetically modified plant comprising

5 introducing into a plant cell a first DNA sequence comprising a first gene whose expression results in an altered plant phenotype, and a transiently-active promotor, the first gene and the transiently-active promotor being operably linked to one another, but separated by a blocking sequence that is flanked by specific excision sequences, such that the presence of the blocking sequence prevents the expression of the first gene, a second DNA sequence comprising a second gene that encodes a recombinase specific for the specific excision sequences flanking the blocking sequence of the first DNA sequence, and a repressible promotor operably linked in functional relation to the second gene, and a third DNA sequence comprising a third gene that encodes a repressor specific for the repressible promotor of the second DNA sequence;

20 regenerating a whole plant from the plant cell.

2. A method according to claim 1, wherein the blocking sequence comprises the third DNA sequence.

3. A method according to claim 1 or claim 2, wherein the transiently-active promotor is selected from the group comprising a promotor active in late embryogenesis, in seed development, in flower development, in leaf development, in root development, in vascular tissue development, in pollen development, after wounding, during heat or

5

cold stress, during water stress, or during or after exposure to heavy metals,

10        the first gene is selected from the group comprising a lethal gene, an insecticidal gene, a fungistatic gene, a fungicidal gene, a bacteriocidal gene, a drought resistance gene, a protein product gene or a gene that alters  
15        secondary metabolism,

      the specific excision sequences are selected from the group comprising LOX sequences and sequences recognizable by either flippase, resolvase, FLP, SSV1-encoded integrase, or  
20        transposase,

      the second gene encodes a specific recombinase selected from the group comprising CRE, flippase, resolvase, FLP, SSV1-encoded integrase, and transposase,

25        the third gene encodes a repressor selected from the group comprising the Tn10 tet repressor, and the lac operator-repressor system,

      the repressible promotor is selected from the group comprising a 35S promotor modified to contain one or more tet operons, a modified ubiquitin  
30        promotor, a modified MAS promotor and a modified NOS promotor.

4. A method according to claim 3, wherein the transiently active promotor is the LEA promotor.
5. A method according to claim 3, wherein the first gene encodes ribosomal inhibitor protein (RIP).
6. A method according to claim 3, wherein the specific excision sequences are LOX sequences and the second gene encodes CRE.
7. A method according to claim 3, wherein the third gene encodes the Tn10 tet repressor.

8. A method according to claim 3, wherein the repressible promotor is a 35S promotor modified to contain three tet operons.

9. A method according to claim 2 wherein the plant is cotton, the transiently active promotor is a LEA promotor, the specific excision sequences are LOX sequences, the first gene encodes ribosomal inhibitor protein (RIP), the repressible promotor is a 35S promotor modified to contain three tet operons, the second gene encodes CRE, and the third DNA sequence is the Tn10 tet repressor gene.

10. A method for producing seed that is incapable of germination, comprising

introducing into a plant cell a first DNA sequence comprising a lethal gene and a promotor that is active in late embryogenesis, the lethal gene and the late embryogenesis promotor being in functional relation to one another, but separated by a blocking sequence that is flanked by specific excision sequences, such that the presence of the blocking sequence prevents the expression of the lethal gene, a second DNA sequence comprising a gene that encodes a recombinase specific for the specific excision sequences flanking the blocking sequence of the first DNA sequence, and a repressible promotor linked in functional relation to the specific recombinase gene, and a third DNA sequence comprising a gene that encodes a repressor specific for the repressible promotor of the second DNA sequence;

regenerating a whole plant from the plant cell;

allowing the regenerated whole plant to produce a first generation seed;

25 exposing the first generation seed to a  
stimulus that blocks the function of the  
repressor, such that the repressor element no  
longer inhibits expression of the specific  
recombinase gene, thereby allowing expression of  
the specific recombinase and excision of the  
30 blocking sequence of the first DNA sequence at the  
specific excision sequences, resulting in the  
direct functional linkage of the late  
embryogenesis promotor with the lethal gene;

35 germinating the first generation seed to  
produce a first generation plant expressing the  
late embryogenesis promotor/lethal gene sequence;

allowing the plant to produce second  
generation seed, whereby in the course of  
embryogenesis, the late embryogenesis promotor  
40 becomes active, permitting expression of the  
lethal gene in the second generation seed, thereby  
rendering the second generation seed incapable of  
germination.

11. A method according to claim 10, wherein the block-  
ing sequence comprises the third DNA sequence.
12. A method according to claim 10 or claim 11, where-  
in the seed is cotton seed;

5 the late embryogenesis promotor is selected  
from the group comprising a LEA promotor and a  
promoter other than LEA that is active in late  
embryogenesis.

the lethal gene is selected from the group  
comprising ribosomal inhibitor protein (RIP) and  
barnase,

10 the specific excision sequences are selected  
from the group comprising LOX sequences, and se-  
quences recognizable by either flippase,

resolvase, FLP, SSV1-encoded integrase, or transposase,

15

the specific recombinase is selected from the group comprising CRE, flippase, resolvase, FLP, SSV1-encoded integrase, and transposase,

20

the repressor gene encodes a repressor selected from the group comprising the Tn10 tet repressor and the lac operator-repressor system, and

the repressible promotor is selected from the group comprising a 35S promotor modified to contain one or more tet operons and a promotor modified to contain lac operator sequences.

13. A method according to claim 12, wherein the late embryogenesis promotor is the LEA promotor.
14. A method according to claim 12, wherein the lethal gene encodes ribosomal inhibitor protein (RIP).
15. A method according to claim 12, wherein the specific excision sequences are LOX sequences and the specific recombinase gene encodes CRE.
16. A method according to claim 12, wherein the repressor gene encodes the Tn10 tet repressor.
17. A method according to claim 12, wherein the repressible promotor is a 35S promotor modified to contain three tet operons.
18. A method according to claim 11 wherein the seed is cotton seed, late embryogenesis promotor is the LEA promotor, the specific excision sequences are LOX sequences, the blocking sequence is the third DNA sequence, the lethal gene encodes ribosomal inhibitor protein (RIP), the repressible promotor is a 35S promotor modified to contain two tet operons, the specific recombinase gene encodes CRE, and the third DNA sequence is the Tn10 tet repressor gene.

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19. A transgenic plant having within its genome DNA sequences comprising

5 a first DNA sequence comprising a first gene whose expression results in an altered plant phenotype, and a transiently active promotor, the first gene and the transiently active promotor being in functional relation to one another, but separated by a blocking sequence that is flanked by specific excision sequences, such that the  
10 presence of the blocking sequence prevents the expression of the first gene,

15 a second DNA sequence comprising a second gene that encodes a recombinase specific for the specific excision sequences flanking the blocking sequence of the first DNA sequence, and a repressible promotor linked in functional relation to the second gene, and

20 a third DNA sequence comprising a third gene that encodes a repressor element specific for the repressible promotor of the second DNA sequence.

20. A transgenic plant according to claim 19, wherein the blocking sequence comprises the third DNA sequence.

21. A transgenic plant according to claim 19 or claim 20, wherein

5 the transiently-active promotor is selected from the group comprising a promotor active in late embryogenesis, in seed development, in flower development, in leaf development, in root development, in vascular tissue development, in pollen development, after wounding, during heat or  
10 cold stress, during water stress, or during or after exposure to heavy metals,



the first gene is selected from the group comprising a lethal gene, an insecticidal gene, a fungistatic gene, a fungicidal gene, a bacteriocidal gene, a drought resistance gene, a protein product gene or a gene that alters secondary metabolism,

the specific excision sequences are selected from the group comprising LOX sequences and sequences recognizable by either flippase, resolvase, FLP, SSV1-encoded integrase, or transposase,

the second gene encodes a specific recombinase selected from the group comprising CRE, flippase, resolvase, FLP, SSV1-encoded integrase, and transposase,

the third gene encodes a repressor selected from the group comprising the Tn10 tet repressor, and the lac operator-repressor system,

the repressible promotor is selected from the group comprising a 35S promotor modified to contain one or more tet operons, a modified ubiquitin promotor, a modified MAS promotor and a modified NOS promotor.

22. A transgenic plant according to claim 21, wherein the transiently active promotor is the LEA promotor.
23. A transgenic plant according to claim 21, wherein the first gene encodes ribosomal inhibitor protein (RIP).
24. A transgenic plant according to claim 21, wherein the specific excision sequences are LOX sequences and the second gene encodes CRE.
25. A transgenic plant according the claim 21, wherein the third gene encodes the Tn10 tet repressor.

26. A transgenic plant according to claim 21, wherein the repressible promotor is a 35S promotor modified to contain three tet operons.
27. A transgenic plant according to claim 20 wherein the plant is cotton, the transiently active promotor is the LEA promotor, the specific excision sequences are LOX sequences, the first gene encodes ribosomal inhibitor protein (RIP), the repressible promotor is a 35S promotor modified to contain three tet operons, the second gene encodes CRE, and the third DNA sequence is the Tn10 tet repressor gene.
28. Plant seed that contains exogenous DNA comprising a first DNA sequence comprising a first gene whose expression results in an altered plant phenotype, and a transiently active promotor, the first gene and the transiently active promotor being in functional relation to one another, but separated by a blocking sequence that is flanked by specific excision sequences, such that the presence of the blocking sequence prevents the expression of the first gene,
- a second DNA sequence comprising a second gene that encodes a recombinase specific for the specific excision sequences flanking the blocking sequence of the first DNA sequence, and a repressible promotor linked in functional relation to the second gene, and
- a third DNA sequence comprising a third gene that encodes a repressor element specific for the repressible promotor of the second DNA sequence.
29. Plant seed according to claim 28, wherein the blocking sequence comprises the third DNA sequence.

30. Plant seed according to claim 28 or claim 29,  
wherein

the plant seed is a cotton seed,

the transiently-active promotor is selected  
5 from the group comprising a promotor active in  
late embryogenesis, in seed development, in flower  
development, in leaf development, in root  
development, in vascular tissue development, in  
pollen development, after wounding, during heat or  
10 cold stress, during water stress, or during or  
after exposure to heavy metals,

the first gene is selected from the group  
comprising a lethal gene, an insecticidal gene, a  
fungistatic gene, a fungicidal gene, a bac-  
15 teriocidal gene, a drought resistance gene, a  
protein product gene or a gene that alters second-  
ary metabolism,

the specific excision sequences are selected  
from the group comprising LOX sequences and se-  
20 quences recognizable by either flippase,  
resolvase, FLP, SSV1-encoded integrase, or  
transposase,

the second gene encodes a specific recom-  
binase selected from the group comprising CRE,  
25 flippase, resolvase, FLP, SSV1-encoded integrase,  
and transposase,

the third gene encodes a repressor selected  
from the group comprising the Tn10 tet repressor,  
and the lac operator-repressor system,

30 the repressible promotor is selected from the  
group comprising a 35S promotor modified to con-  
tain one or more tet operons, a modified ubiquitin  
promotor, a modified MAS promotor and a modified  
NOS promotor.

31. Plant seed according to claim 30, wherein the transiently active promotor is the LEA promotor.
32. Plant seed according to claim 30, wherein the first gene encodes ribosomal inhibitor protein (RIP).
33. Plant seed according to claim 30, wherein the specific excision sequences are LOX sequences and the second gene encodes CRE.
34. Plant seed according to claim 30, wherein the third gene encodes the Tn10 tet repressor.
35. Plant seed according to claim 30, wherein the repressible promotor is a 35S promotor modified to contain three tet operons.
36. Plant seed according to claim 29 wherein the plant seed is cotton seed, the transiently active promotor is the LEA promotor, the specific excision sequences are LOX sequences, the first gene encodes ribosomal inhibitor protein (RIP), the repressible promotor is a 35S promotor modified to contain three tet operons, the second gene encodes CRE, and the third DNA sequence is the Tn10 tet repressor gene.
37. Plant tissue that contains exogenous DNA comprising
- a first DNA sequence comprising a first gene whose expression results in an altered plant phenotype, and a transiently active promotor, the first gene and the transiently active promotor being in functional relation to one another, but separated by a blocking sequence that is flanked by specific excision sequences, such that the presence of the blocking sequence prevents the expression of the first gene,

15

a second DNA sequence comprising a second gene that encodes a recombinase specific for the specific excision sequences flanking the blocking sequence of the first DNA sequence, and a repressible promotor linked in functional relation to the second gene, and

20

a third DNA sequence comprising a third gene that encodes a repressor element specific for the repressible promotor of the second DNA sequence.

38. Plant tissue according to claim 37, wherein the blocking sequence comprises the third DNA sequence.

39. Plant tissue according to claim 37 or claim 38, wherein

5

the plant tissue is cotton tissue,  
the transiently-active promotor is selected from the group comprising a promotor active in late embryogenesis, in seed development, in flower development, in leaf development, in root development, in vascular tissue development, in pollen development, after wounding, during heat or cold stress, during water stress, or during or after exposure to heavy metals,

10

15

the first gene is selected from the group comprising a lethal gene, an insecticidal gene, a fungistatic gene, a fungicidal gene, a bacteriocidal gene, a drought resistance gene, a protein product gene or a gene that alters secondary metabolism,

20

the specific excision sequences are selected from the group comprising LOX sequences and sequences recognizable by either flippase, resolvase, FLP, SSV1-encoded integrase, or transposase,

25       the second gene encodes a specific recombinase selected from the group comprising CRE, flippase, resolvase, FLP, SSV1-encoded integrase, and transposase,

30       the third gene encodes a repressor selected from the group comprising the Tn10 tet repressor, and the lac operator-repressor system,

30       the repressible promotor is selected from the group comprising a 35S promotor modified to contain one or more tet operons, a modified ubiquitin promotor, a modified MAS promotor and a modified NOS promotor.

40. Plant tissue according to claim 39, wherein the transiently active promotor is the LEA promotor.

41. Plant tissue according to claim 39, wherein the first gene encodes ribosomal inhibitor protein (RIP).

42. Plant tissue according to claim 39, wherein the specific excision sequences are LOX sequences and the second gene encodes CRE.

43. Plant tissue according to claim 39, wherein the third gene encodes the Tn10 tet repressor.

44. Plant tissue according to claim 39, wherein the repressible promotor is a 35S promotor modified to contain three tet operons.

5       45. Plant tissue according to claim 38 wherein the transiently active promotor is the LEA promotor, the specific excision sequences are LOX sequences, the first gene encodes ribosomal inhibitor protein (RIP), the repressible promotor is a 35S promotor modified to contain three tet operons, the second gene encodes CRE, and the third DNA sequence is the Tn10 tet repressor gene.

46. A plant cell that contains exogenous DNA comprising

5 a first DNA sequence comprising a first gene whose expression results in an altered plant phenotype, and a transiently active promotor, the first gene and the transiently active promotor being in functional relation to one another, but separated by a blocking sequence that is flanked by specific excision sequences, such that the  
10 presence of the blocking sequence prevents the expression of the first gene,

15 a second DNA sequence comprising a second gene that encodes a recombinase specific for the specific excision sequences flanking the blocking sequence of the first DNA sequence, and a repressible promotor linked in functional relation to the second gene, and

20 a third DNA sequence comprising a third gene that encodes a repressor element specific for the repressible promotor of the second DNA sequence.

47. A plant cell according to claim 46, wherein the blocking sequence comprises the third DNA sequence.

48. A plant cell according to claim 46 or claim 47, wherein

the plant cell is a cotton cell,

5 the transiently-active promotor is selected from the group comprising a promotor active in late embryogenesis, in seed development, in flower development, in leaf development, in root development, in vascular tissue development, in pollen development, after wounding, during heat or  
10 cold stress, during water stress, or during or after exposure to heavy metals,

15 the first gene is selected from the group comprising a lethal gene, an insecticidal gene, a fungistatic gene, a fungicidal gene, a bacteriocidal gene, a drought resistance gene, a protein product gene or a gene that alters secondary metabolism,

20 the specific excision sequences are selected from the group comprising LOX sequences and sequences recognizable by either flippase, resolvase, FLP, SSV1-encoded integrase, or transposase,

25 the second gene encodes a specific recombinase selected from the group comprising CRE, flippase, resolvase, FLP, SSV1-encoded integrase, and transposase,

30 the third gene encodes a repressor selected from the group comprising the Tn10 tet repressor, and the lac operator-repressor system,

the repressible promotor is selected from the group comprising a 35S promotor modified to contain one or more tet operons, a modified ubiquitin promotor, a modified MAS promotor and a modified NOS promotor.

49. A plant cell according to claim 48, wherein the transiently active promotor is the LEA promotor.

50. A plant cell according to claim 48, wherein the first gene encodes ribosomal inhibitor protein (RIP).

51. A plant cell according to claim 48, wherein the specific excision sequences are LOX sequences and the second gene encodes CRE.

52. A plant cell according the claim 48, wherein the third gene encodes the Tn10 tet repressor.



53. A plant cell according to claim 48, wherein the repressible promotor is a 35S promotor modified to contain three tet operons.
54. A plant cell according to claim 47, wherein the transiently active promotor is the LEA promotor, the specific excision sequences are LOX sequences, the blocking sequence is the third DNA sequence, the first gene encodes ribosomal inhibitor protein (RIP), the repressible promotor is a 35S promotor modified to contain three tet operons, the second gene encodes CRE, and the third DNA sequence is the Tn10 tet repressor gene.
55. A method of producing non-viable seed comprising introducing into a plant cell or cell culture a first DNA sequence comprising a lethal gene and a promotor that is active in late embryogenesis, the lethal gene and the late embryogenesis promotor being in functional relation to one another, but separated by a blocking sequence that is flanked by specific excision sequences, such that the presence of the blocking sequence prevents the expression of the lethal gene, the second DNA sequence comprising a gene sequence that encodes a recombinase specific for the specific excision sequences flanking the blocking sequence of the first DNA sequence, and an inducible promotor linked in functional relation to the specific recombinase gene;
- regenerating a whole plant from the plant cell or cell culture;
- allowing the regenerated whole plant to produce first generation seed;
- exposing the first generation seed to a stimulus that induces the inducible promotor of

25 the second DNA sequence, thereby inducing the gene encoding the specific recombinase and enabling excision of the blocking sequence of the first DNA sequence at the specific excision sequences, resulting in the direct functional linkage of the late embryogenesis promotor with the first gene;

30 germinating the first generation seed to produce plant expressing the late embryogenesis promotor/first gene sequence constitutively;

35 allowing the plant to produce second generation seed, whereby in the course of embryogenesis the late embryogenesis promotor becomes active, permitting expression of the lethal gene in the second generation seed, thereby rendering it non-viable.

56. A method of producing a hybrid plant expressing a trait not expressed by either parent, comprising introducing into a first plant cell or cell culture a first DNA sequence comprising a first gene whose expression results in an altered plant phenotype, and a transiently-active promotor, the first gene and the transiently-active promotor being operably linked to one another, but separated by a blocking sequence that is flanked by specific excision sequences, such that the presence of the blocking sequence prevents the expression of the first gene;

10 introducing into a second plant cell or cell culture a second DNA sequence comprising a second gene that encodes a recombinase specific for the specific excision sequences flanking the blocking sequence of the first DNA sequence, and a promotor that is active during seed germination operably linked in functional relation to the second gene;

- 20           regenerating the first and second cells or  
cell cultures into first and second plants;  
          hybridizing the first and second plants to  
produce hybrid seed containing the first and the  
second DNA sequences;
- 25           growing the hybrid seed into a hybrid plant.
57. A method according to claim 56, wherein the  
blocking sequence is a sequence that causes male  
sterility.
58. A method according to claim 57, wherein  
          the transiently-active promotor is selected  
from the group comprising a promotor active in  
late embryogenesis, in seed development, in flower  
development, in leaf development, in root  
5           development, in vascular tissue development, in  
pollen development, after wounding, during heat or  
cold stress, during water stress, or during or  
after exposure to heavy metals,
- 10           the first gene is selected from the group  
comprising a lethal gene, an insecticidal gene, a  
fungistatic gene, a fungicidal gene, a bac-  
teriocidal gene, a drought resistance gene, a  
protein product gene or a gene that alters second-  
15           ary metabolism,
- the specific excision sequences are selected  
from the group comprising LOX sequences and se-  
quences recognizable by either flippase,  
resolvase, FLP, SSV1-encoded integrase, or  
20           transposase,
- the second gene encodes a specific recom-  
binase selected from the group comprising CRE,  
flippase, resolvase, FLP, SSV1-encoded integrase,  
and transposase,

- 25           the sequence that causes male sterility is selected from the group comprising a lethal gene operably linked to an anther-specific promotor and a lethal gene operably linked to a pollen-specific promoter.
59. A method according to claim 58, wherein the transiently active promotor is the LEA promotor.
60. A method according to claim 58, wherein the first gene encodes ribosomal inhibitor protein (RIP).
61. A method according to claim 58, wherein the specific excision sequences are LOX sequences and the second gene encodes CRE.
62. A method according to claim 58, wherein the sequence that causes male sterility is the RIP gene linked to an anther specific promoter.
63. A method according to claim 58, wherein the plants are cotton plants, the transiently active promoter is a LEA promoter, the specific excision sequences are LOX sequences, the first gene encodes RIP, the  
5       second gene encodes CRE, and sequence that causes male sterility is the RIP gene linked to an anther specific promoter.
64. A method of producing seed that is incapable of germination, comprising  
          introducing into a first plant cell or cell culture a first DNA sequence comprising a lethal  
5       gene, and a promoter that is active in late embryogenesis, the lethal gene and the late embryogenesis promoter being operably linked to one another, but separated by a blocking sequence that is flanked by specific excision sequences,  
10       such that the presence of the blocking sequence prevents the expression of the lethal gene and the recombinase gene;

15 introducing into a second plant cell or cell  
culture a second DNA sequence comprising a gene  
that encodes a recombinase specific for the  
specific excision sequences flanking the blocking  
sequence of the first DNA sequence, and a promotor  
that is active during seed germination operably  
linked in functional relation to the recombinase  
20 gene;

regenerating the first and second cells or  
cell cultures into first and second plants;

25 hybridizing the first and second plants to  
produce hybrid seed containing the first and the  
second DNA sequences;

germinating the hybrid seed to produce a  
hybrid plant expressing the ;

30 allowing the hybrid plant to produce second  
generation hybrid seed, whereby in the course of  
embryogenesis, the late embryogenesis promoter  
becomes active, permitting expression of the  
lethal gene in the second generation hybrid seed,  
thereby rendering the second generation hybrid  
seed incapable of germination.

65. A method according to claim 64, wherein the  
blocking sequence is a sequence that causes male  
sterility.

66. A method according to claim 65, wherein

5 the specific excision sequences are selected  
from the group comprising LOX sequences and se-  
quences recognizable by either flippase,  
resolvase, FLP, SSV1-encoded integrase, or  
transposase,

the recombinase gene encodes a specific  
recombinase selected from the group comprising

10 CRE, flippase, resolvase, FLP, SSV1-encoded  
integrase, and transposase,

15 the sequence that causes male sterility is  
selected from the group comprising a lethal gene  
operably linked to an anther-specific promotor and  
a lethal gene operably linked to a pollen-specific  
promoter.

67. A method according to claim 66, wherein the  
transiently promotor active in late embryogenesis.

68. A method according to claim 66, wherein the lethal  
gene encodes ribosomal inhibitor protein (RIP).

69. A method according to claim 66, wherein the  
specific excision sequences are LOX sequences and  
the second gene encodes CRE.

70. A method according to claim 66, wherein the  
sequence that causes male sterility is the RIP  
gene linked to an anther specific promotor.

5 71. A method according to claim 66, wherein the plants  
are cotton plants, the promoter active in late  
embryogenesis, the specific excision sequences are  
LOX sequences, the lethal gene encodes RIP, the  
recombinase gene encodes CRE, and sequence that  
causes male sterility is the RIP gene linked to an  
anther specific promotor.

72. A method for making a genetically modified plant  
comprising

5 introducing into a plant cell a first DNA  
sequence comprising a first gene whose expression  
results in an altered plant phenotype, and a tran-  
siently-active promotor, the first gene and the  
transiently-active promotor being operably linked  
to one another, but separated by a blocking  
sequence that is flanked by specific excision  
10 sequences, such that the presence of the blocking

sequence prevents the expression of the first gene, an optional second DNA sequence comprising a second gene that encodes a repressor specific for the repressible promotor of a third DNA sequence,

15       introducing into a plant virus a third DNA sequence comprising a third gene that encodes a recombinase specific for the specific excision sequences flanking the blocking sequence of the first DNA sequence, and a promotor that is  
20       optionally a repressible promoter operably linked in functional relation to the third gene;

      regenerating a whole plant from the plant cell;

25       infecting the plant with the plant virus, such that the first, second, and third DNA sequences are all present in the plant.





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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification 6 :</b> <b>C12N 15/82, 5/10, A01H 5/00, 5/10</b>	<b>A3</b>	<b>(11) International Publication Number:</b> <b>WO 96/04393</b> <b>(43) International Publication Date:</b> 15 February 1996 (15.02.96)
<b>(21) International Application Number:</b> PCT/US95/09595 <b>(22) International Filing Date:</b> 31 July 1995 (31.07.95)  <b>(30) Priority Data:</b> 08/283,604 1 August 1994 (01.08.94) US 08/477,559 7 June 1995 (07.06.95) US  <b>(71) Applicants:</b> DELTA AND PINE LAND COMPANY [US/US]; P.O. Box 157, One Cotton Row, Scott, MS 38772 (US). UNITED STATES OF AMERICA, represented by THE SECRETARY OF AGRICULTURE [US/US]; Washington, DC 20250-1400 (US).  <b>(72) Inventors:</b> OLIVER, Melvin, John; 5728 72nd Street, Lubbock, TX 79424 (US). QUISENBERRY, Jerry, Edwin; Route 2, Box 178, Idalou, TX 79329 (US). TROLINDER, Norma, Lee, Glover; Route 1, Box 44-5, Quanah, TX 79252 (US). KEIM, Don, Lee; 202 Deer Creek Drive, Leland, MS 38756 (US).  <b>(74) Agents:</b> FIGG, E., Anthony et al.; Rothwell, Figg, Ernst & Kurz, 555 13th Street, N.W. # 701 East, Washington, DC 20004 (US).	<b>(81) Designated States:</b> AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TT, UA, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ, UG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i>  <b>(88) Date of publication of the international search report:</b> 7 March 1996 (07.03.96)	
<b>(54) Title:</b> CONTROL OF PLANT GENE EXPRESSION  <b>(57) Abstract</b>  A method for making a genetically modified plant comprising regenerating a whole plant from a plant cell that has been transfected with DNA sequences comprising a first gene whose expression results in an altered plant phenotype linked to a transiently active promoter, the gene and promoter being separated by a blocking sequence flanked by specific excision sequences, a second gene that encodes a recombinase specific for the specific excision sequences linked to a repressible promoter, and a third gene that encodes the repressor specific for the repressible promoter. Also a method for making a genetically modified hybrid plant by hybridizing a first plant regenerated from a plant cell that has been transfected with DNA sequences comprising a first gene whose expression results in an altered plant phenotype linked to a transiently active promoter, the gene and promoter being separated by a blocking sequence flanked by specific excision sequences to a second plant regenerated from a second plant cell that has been transfected with DNA sequences comprising a second gene that encodes a recombinase specific for the specific excision sequences linked to a promoter that is active during seed germination, and growing a hybrid plant from the hybrid seed. Plant cells, plant tissues, plant seed and whole plants containing the above DNA sequences are also claimed.		

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## INTERNATIONAL SEARCH REPORT

International Application No

PCT/CJ 95/09595

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 C12N15/82 C12N5/10 A01H5/00 A01H5/10

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C12N A01H

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

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